The opinion in support of the decision being entered today was <u>not</u> written for publication and is <u>not</u> binding precedent of the Board.

Paper No. 23

#### UNITED STATES PATENT AND TRADEMARK OFFICE

# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Ex parte FRANCOIS GUGUMUS

Appeal No. 2001-0796 Application No. 08/911,199

ON BRIEF

Before OWENS, LIEBERMAN, and NAGUMO, <u>Administrative Patent Judges</u>. LIEBERMAN, <u>Administrative Patent Judge</u>.

#### DECISION ON APPEAL

This is an appeal under 35 U.S.C. § 134 from the decision of the examiner refusing to allow claims 1, 3 through 7 and 9 through 16, which are all of the claims pending in this application.

#### THE INVENTION

The invention is directed to a stabilizer composition containing a specific hindered amine light stabilizing compound having a specific formula in combination with an organic

salt of zinc or magnesium. The invention further requires the presence of a UV absorber or a pigment or both. Additional limitations are disclosed in the following illustrative claim.

#### THE CLAIM

Claim 1 is illustrative of appellant's invention and is reproduced below:

1. A stabilizer mixture containing A) either

(A5) at least one compound of the formula (V)

wherein the radicals  $R_{10}$ , independently of one another are hydrogen,  $C_1$  - $C_8$ alkyl, -O', -CH<sub>2</sub>CN,  $C_3$ - $C_6$ alkenyl,  $C_7$ - $C_9$ phenylalkyl,  $C_7$ - $C_9$ phenylalkyl which is substituted on the phenyl radical by  $C_1$ - $C_4$ alkyl; or  $C_1$ - $C_8$ acyl; and

 $R_{11}$  is  $C_2$ - $C_{22}$ alkylene; or

(A7) at least one compound of the formula (VIII)

wherein  $R_{16}$  is  $C_1$ - $C_{24}$  alkyl, and

 $R_{17}$  has one of the definitions given for  $R_{10}$ ; and

- B) an organic salt of zinc or magnesium; and
- C) either
  - (C1) an UV absorber or
  - (C2) a pigment or
  - (C3) an UV absorber and a pigment.

#### THE REFERENCES OF RECORD

As evidence of obviousness, the examiner relies upon the following references:

Lai et al. (Lai)	4,190,571	Feb. 26, 1980
Kelkenberg et al. (Kelkenberg)	4,356,307	Oct. 26,1982
Gugumus	4,929,652	May 29, 1990

#### THE REJECTION

Claims 1, 3 through 7 and 9 through 16 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over the combined teachings of Gugumus, Kelkenberg, and Lai.

#### OPINION

We have carefully considered all of the arguments advanced by the appellant and the examiner, and agree with the appellant that the rejection of the claims is not well founded.

Accordingly, we reverse.

### The Rejections under Section 103

"[T]he examiner bears the initial burden, on review of the prior art or on any other ground, of presenting a *prima facie* case of unpatentability." See In re Oetiker, 977

F.2d1443, 1445, 24 USPQ2d 1443, 1444 (Fed. Cir. 1992). The examiner relies upon a combination of three references to establish a <u>prima facie</u> case of obviousness with respect to the claimed subject matter.

Even if the examiner were to have established a <u>prima</u> <u>facie</u> case of obviousness, which is not reflected in the record before us, we determine that the evidence of record is sufficient to rebut any such <u>prima</u> <u>facie</u> case of obviousness which could be based on the references before us, alone or in combination.

The evidence presented in the specification in Examples 1 and 2 is directed to the time (T<sub>0.1</sub> measured) needed to reach a carbonyl extinction of 0.1. See specification, page 29. The data properly compares species of formula V and VIII with a hindered amine light stabilizer of Gugumus which is the closest art of record, in combination with each of the components required by the claimed subject matter. The evidence presented shows about a 50% increase in the hours need to reach a carbonyl extinction of 0.1 in polypropylene homopolymer films containing compositions within the scope of the claimed subject matter. See Tables 1 to 3. Based upon the narrow scope of the claimed subject matter, we determine that the evidence discloses results which are both unusual and unexpected. Accordingly, the rejection over the references of record is reversed.

## **DECISION**

The rejection of claims 1, 3 through 7 and 9 through 16 under 35 U.S.C. § 103(a) as being unpatentable over the combined teachings of Gugumus, Kelkenberg and Lai is reversed.

The decision of the examiner is reversed.

# <u>REVERSED</u>

TERRY J. OWENS Administrative Patent Judge	)
PAUL LIEBERMAN Administrative Patent Judge	) ) ) ) ) BOARD OF PATENT ) APPEALS ) AND ) INTERFERENCES ) )
MARK NAGUMO Administrative Patent Judge	) ) )

JOANN L. VILLAMIZAR
PATENT DEPT.
CIBA SPECIALTY CHEMICALS CORP.
540 WHITE PLAINS ROAD
TARRYTOWN, NY 10591